

[320] Complexity + Big O

Tyler Caraza-Harter

Outline

Performance and Complexity

What is a step?

Counting Executed Steps

Big O: for functions/curves

Big O: for algorithms

Performance vs. Complexity

Things that affect **performance** (total time to run):

- ????

Performance vs. Complexity

Things that affect **performance** (total time to run):

- speed of the computer (CPU, etc)
- speed of Python (quality+efficiency of interpretation)
- **algorithm**: strategy for solving the problem
- **input size**: how much data do we have?

Performance vs. Complexity

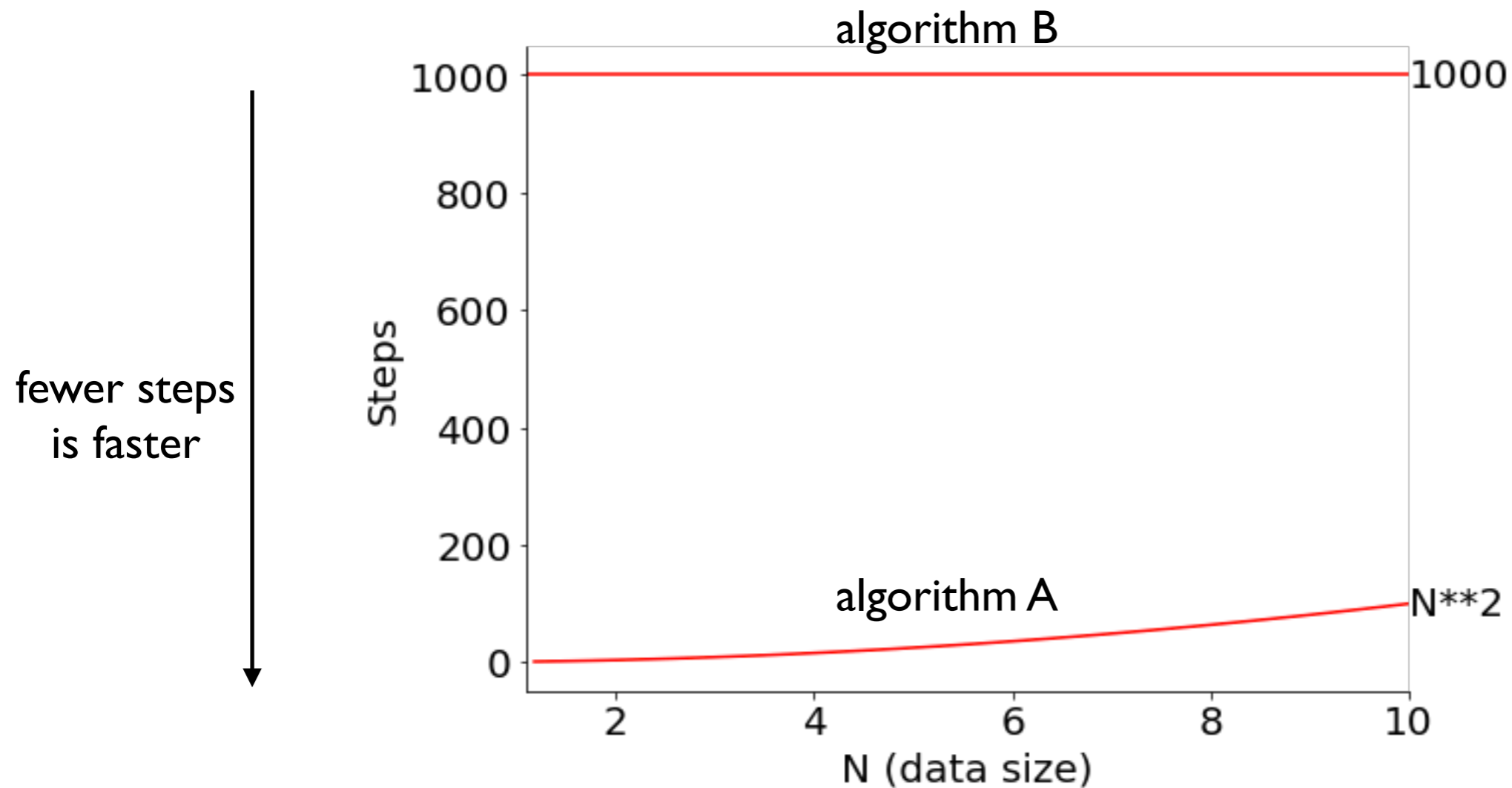
Things that affect **performance** (total time to run):

- speed of the computer (CPU, etc)
- speed of Python (quality+efficiency of interpretation)

- **algorithm**: strategy for solving the problem
- **input size**: how much data do we have?

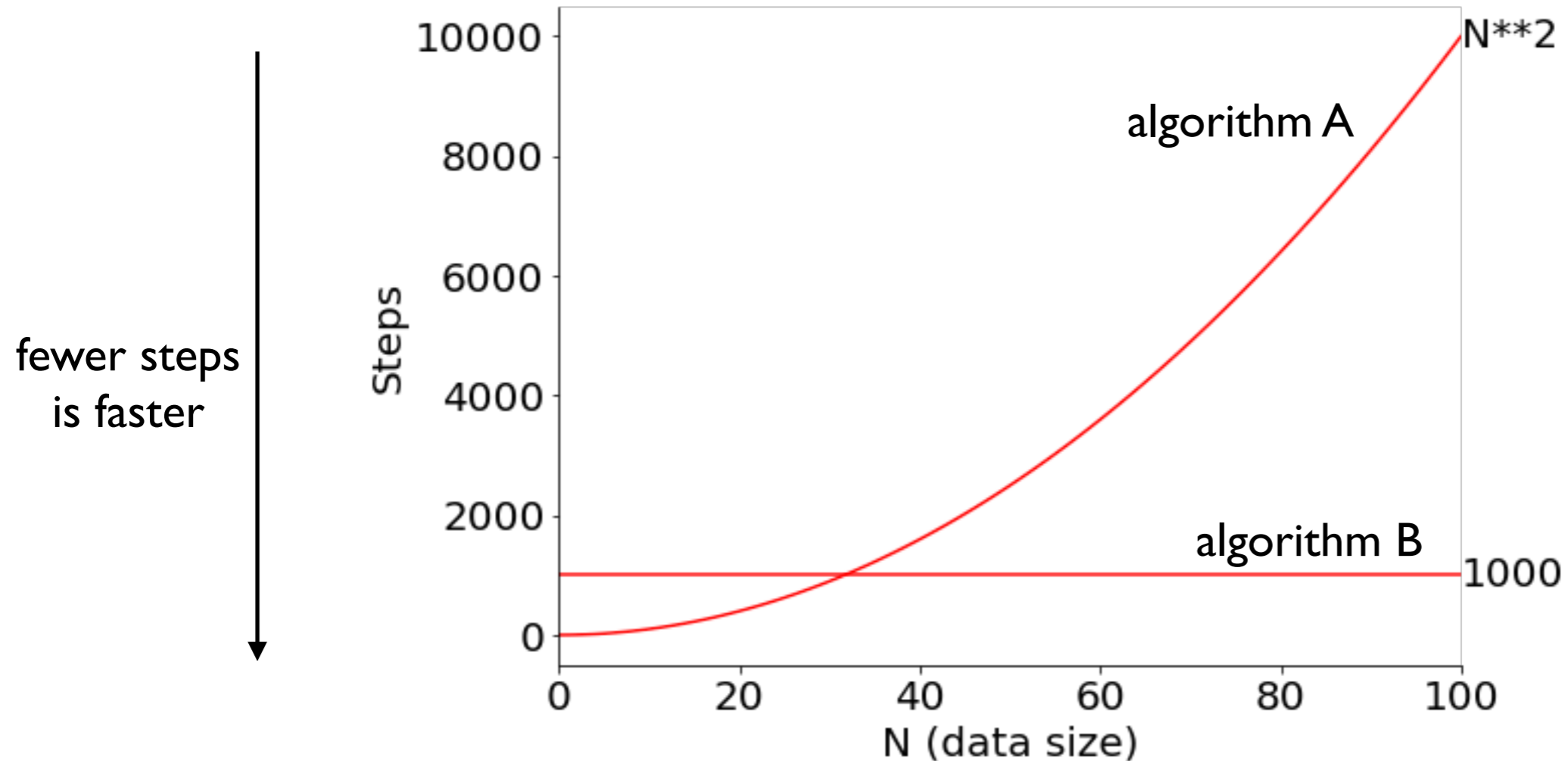
complexity analysis: how many steps must the algorithm perform, as a function of input size?

Which algorithm is better?



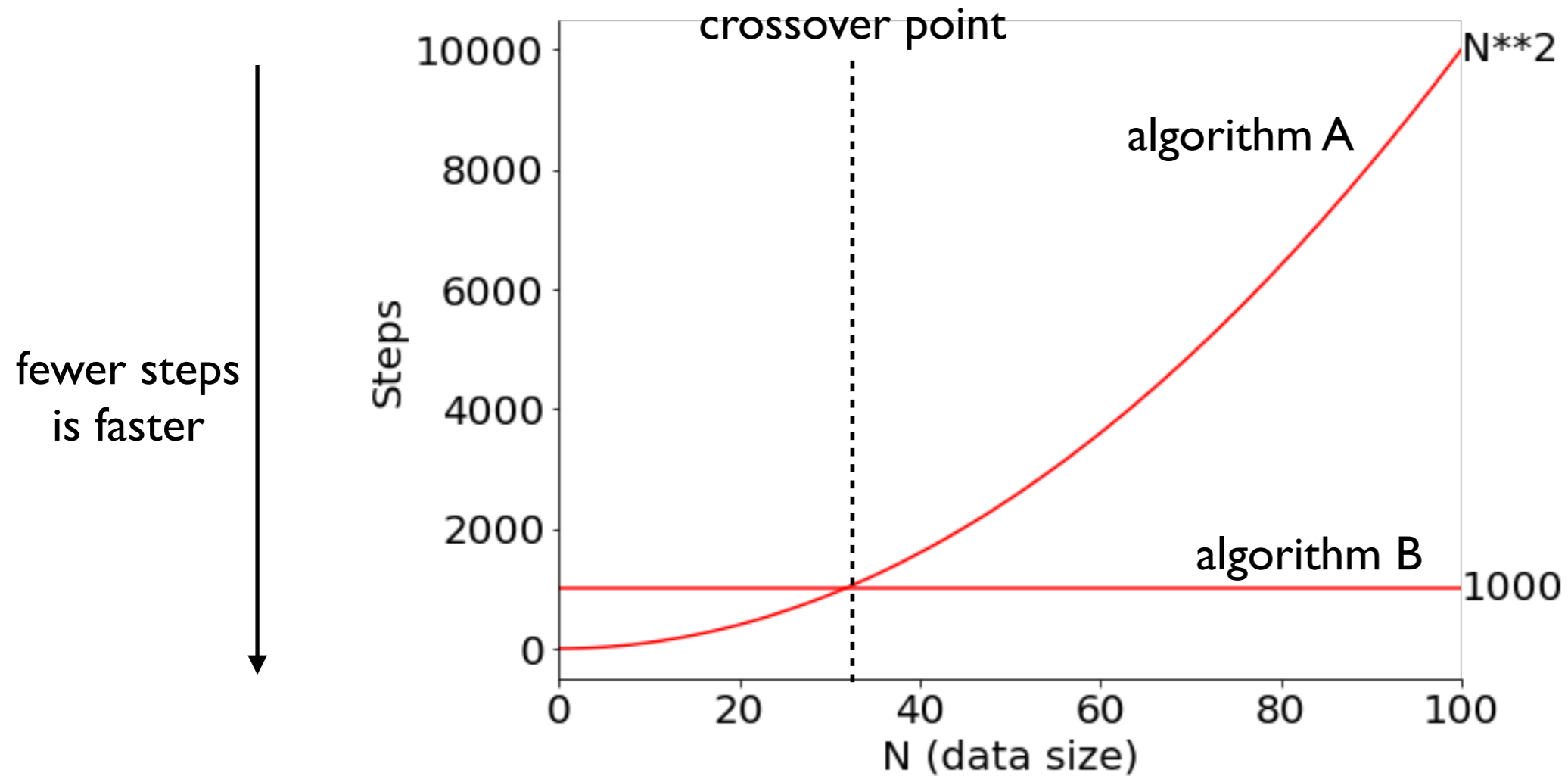
Do you prefer A or B?

Which algorithm is better?



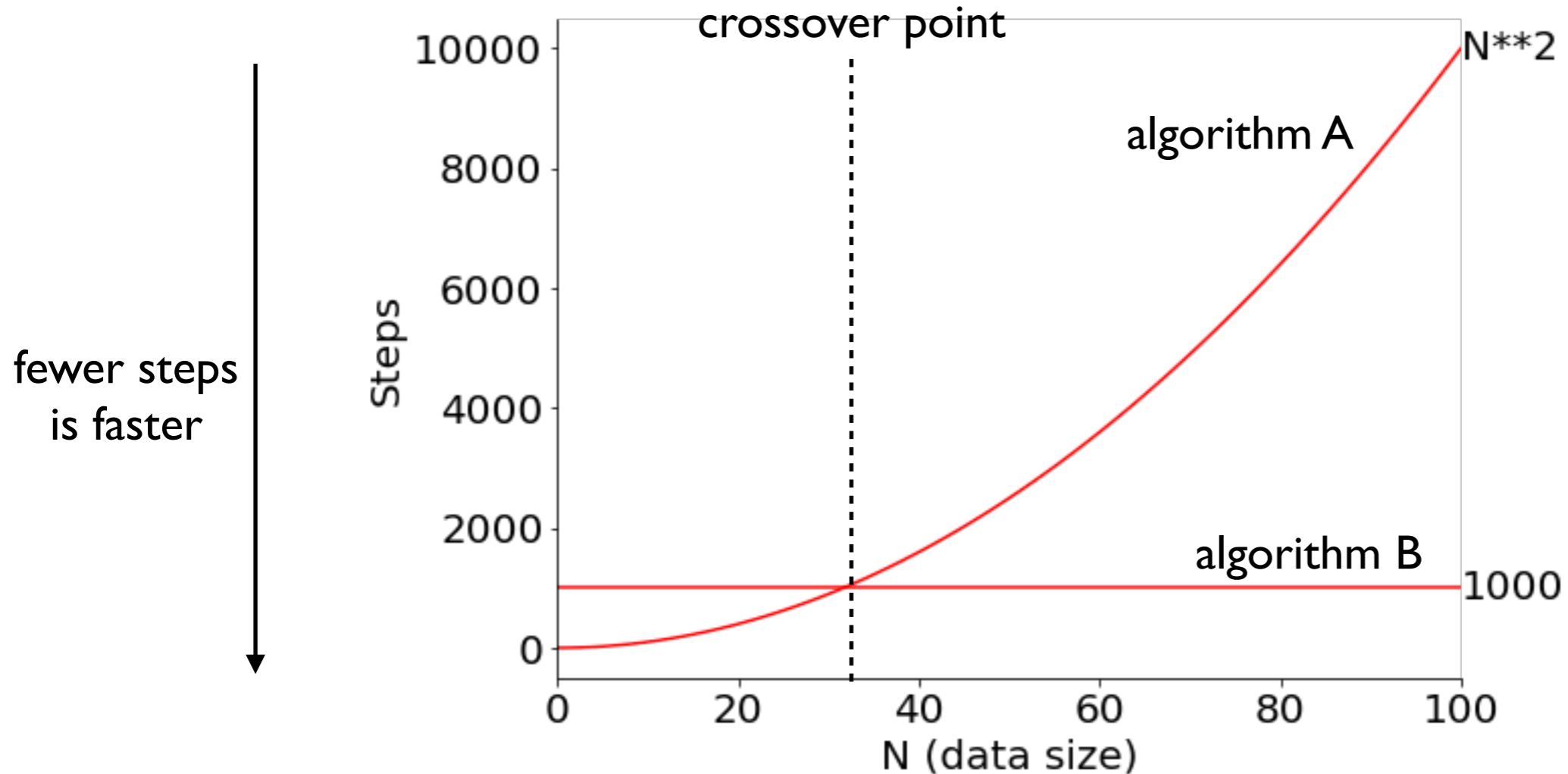
Do you prefer A or B?

Which algorithm is better?



Which algorithm is better?

you might still reasonably care about this portion!



complexity analysis only cares about "big" inputs

What is the asymptotic behavior of the function?

Performance vs. Complexity

Things that affect **performance** (total time to run):

- speed of the computer (CPU, etc)
- speed of Python (quality+efficiency of interpretation)

- **algorithm**: strategy for solving the problem
- **input size**: how much data do we have?

complexity analysis: how many **steps** must the algorithm perform, as a function of input size?

what is this?



Outline

Performance and Complexity

What is a step?


Counting Executed Steps

Big O: for functions/curves

Big O: for algorithms

What is a step?

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

 input size is length of this list

```
input_nums = [2, 3, ...]
```

```
STEP odd_count = 0
STEP odd_sum = 0
STEP for num in input_nums:
STEP     if num % 2 == 1:
STEP         odd_count += 1
STEP         odd_sum += num
STEP odd_avg = odd_sum
STEP odd_avg /= odd_count
```

What is a step?

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

```
STEP odd_count = 0
STEP odd_sum = 0
STEP for num in input_nums:
STEP     if num % 2 == 1:
STEP         odd_count += 1
STEP         odd_sum += num
STEP odd_avg = odd_sum
STEP odd_avg /= odd_count
```



also a valid
breakdown
into steps

What is a step?

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

STEP

```
for num in input_nums:
```

STEP

```
    if num % 2 == 1:
```

STEP

```
        odd_count += 1  
        odd_sum += num
```

STEP

```
odd_avg = odd_sum / odd_count
```



One line can do a lot, so no reason to have lines and steps be equivalent

What is a step?

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

STEP

```
for num in input_nums:
```

STEP

```
    if num % 2 == 1:
```

STEP

```
        odd_count += 1  
        odd_sum += num
```

STEP

```
odd_avg = odd_sum / odd_count
```



Sometimes a single line is not a single step:

```
found = X in L
```

What is a step?

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

STEP

```
for num in input_nums:
```

STEP

```
    if num % 2 == 1:  
        odd_count += 1  
        odd_sum += num
```

STEP

```
odd_avg = odd_sum / odd_count
```

???

What is a step?



"bounded" doesn't mean "fixed"

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

STEP

```
for num in input_nums:
```

STEP

```
    if num % 2 == 1:  
        odd_count += 1  
        odd_sum += num
```

STEP

```
odd_avg = odd_sum / odd_count
```



What is a step?

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

STEP

```
for num in input_nums:  
    if num % 2 == 1:  
        odd_count += 1  
        odd_sum += num
```

(whole loop execution,
not one pass through)

STEP

```
odd_avg = odd_sum / odd_count
```

???

is this a valid way to identify steps?

What is a step?

A **step** is any unit of work with bounded execution time
(it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

not a "step", because
exec time depends
on input size

STEP

```
for num in input_nums:  
    if num % 2 == 1:  
        odd_count += 1  
        odd_sum += num
```

(whole loop execution,
not one pass through)

STEP

```
odd_avg = odd_sum / odd_count
```



What is a step?

A **step** is any unit of work with bounded execution time
(it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

not a "step", because
exec time depends
on input size

STEP

```
for num in input_nums:  
    if num % 2 == 1:  
        odd_count += 1  
        odd_sum += num
```

(whole loop execution,
not one pass through)

STEP

```
odd_avg = odd_sum / odd_count
```



Note! A loop that iterates a bounded number of times
(not proportional to input size) COULD be a single step.

Outline

Performance and Complexity

What is a step?

Counting Executed Steps

Big O: for functions/curves

Big O: for algorithms

Counting Executed Steps

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

STEP

```
odd_count = 0  
odd_sum = 0
```

STEP

```
for num in input_nums:
```

STEP

```
    if num % 2 == 1:  
        odd_count += 1  
        odd_sum += num
```

STEP

```
odd_avg = odd_sum / odd_count
```

How many total steps will **execute** if
`len(input_nums) == 10`?

Counting Executed Steps

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

	STEP	odd_count = 0 odd_sum = 0
+ 11	STEP	for num in input_nums:
+ 10	STEP	if num % 2 == 1: odd_count += 1 odd_sum += num
+ 1	STEP	odd_avg = odd_sum / odd_count

= 23 steps

For **N** elements, there will be **2*N+3** steps

Counting Executed Steps

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

```
? STEP odd_count = 0
? STEP odd_sum = 0
? STEP for num in input_nums:
? STEP     if num % 2 == 1:
? STEP         odd_count += 1
? STEP         odd_sum += num
? STEP odd_avg = odd_sum
? STEP odd_avg /= odd_count
```

How many total steps will **execute** if
`len(input_nums) == 10`?

Counting Executed Steps

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

```
1  STEP odd_count = 0
1  STEP odd_sum = 0
11 STEP for num in input_nums:
10 STEP     if num % 2 == 1:
0 to 10 STEP         odd_count += 1
0 to 10 STEP         odd_sum += num
1  STEP odd_avg = odd_sum
1  STEP odd_avg /= odd_count
```

How many total steps will **execute** if
`len(input_nums) == 10`?

Counting Executed Steps

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

```
    |   STEP odd_count = 0
  + |   STEP odd_sum = 0
  + ||  STEP for num in input_nums:
  + |0   STEP     if num % 2 == 1:
+ 0 to 10 STEP         odd_count += 1
+ 0 to 10 STEP         odd_sum += num
  + |   STEP odd_avg = odd_sum
  + |   STEP odd_avg /= odd_count
```

For **N** elements, there will be between
2*N+5 and **4*N+5** steps

Counting Executed Steps

A **step** is any unit of work with bounded execution time (it doesn't keep getting slower with growing input size)

```
input_nums = [2, 3, ...]
```

```
  |  STEP  odd_count = 0
+ |  STEP  odd_sum = 0
+ || STEP  for num in input_nums:
+ |0 STEP    if num % 2 == 1:
+ 0 to 10 STEP      odd_count += 1
+ 0 to 10 STEP      odd_sum += num
  |  STEP  odd_avg = odd_sum
  |  STEP  odd_avg /= odd_count
```

For N elements, there will be between
 $2*N+5$ and $4*N+5$ steps

usually we care about
the worst case

Counting Executed Steps

A **step** is any unit of work with bounded execution time
(it doesn't keep getting slower with growing input size)

$$2*N+3$$

answer 1

OR

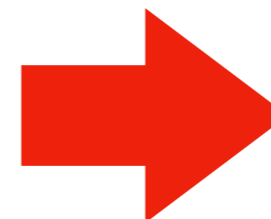
$$4*N+5$$

answer 2

Answer 2 is never bigger than 2 times answer 1.

Answer 1 is never bigger than answer 2.

Important: we might not identify steps the same, but our execution counts can at most differ by a constant factor!



can we broadly
(but rigorously)
categorize based on this?

Outline

Performance and Complexity

What is a step?

Counting Executed Steps

Big O: for functions/curves

Big O: for algorithms

How fast?

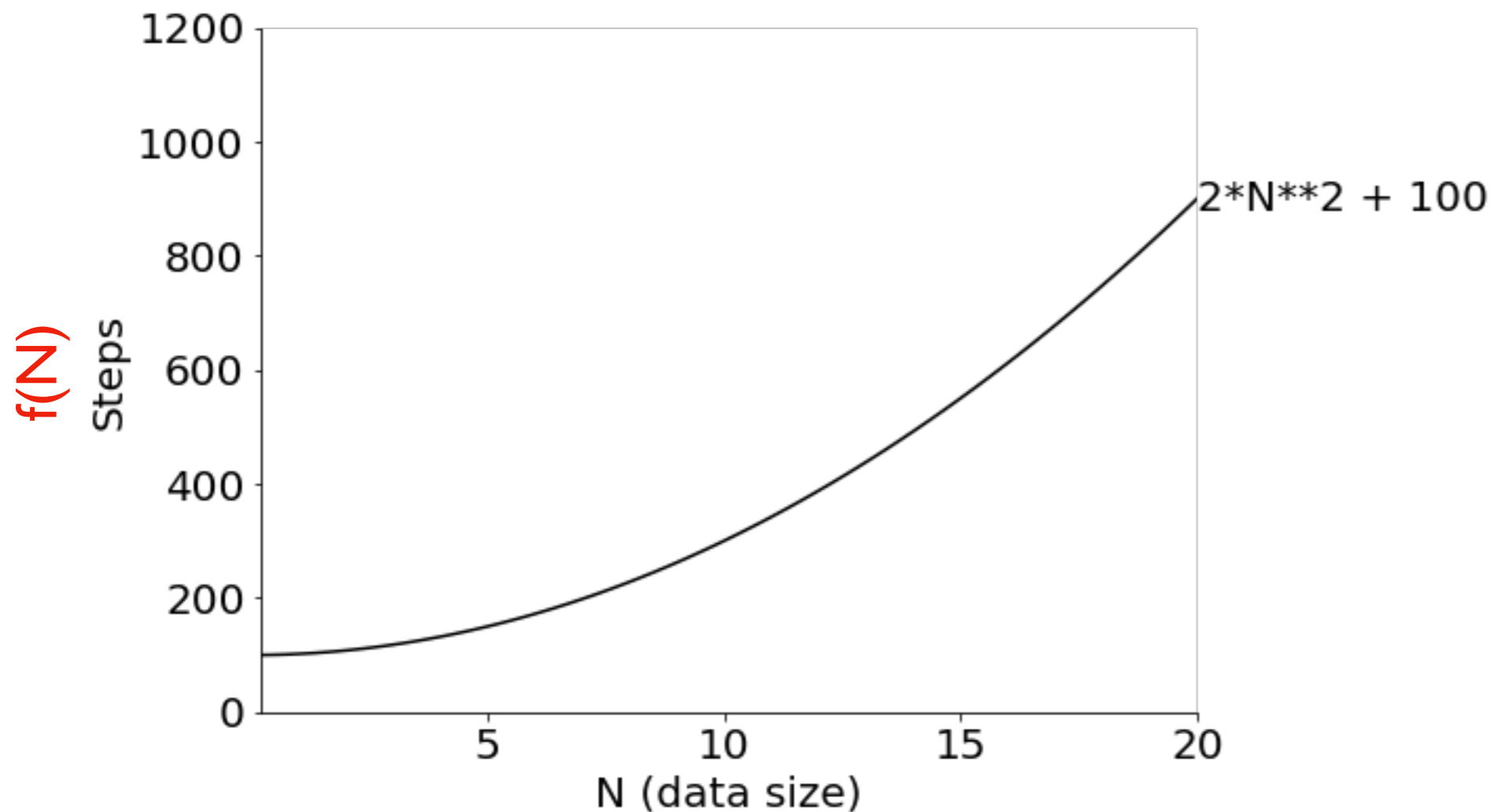
Documentation

- https://scikit-learn.org/stable/modules/linear_model.html#ordinary-least-squares-complexity
- <https://scikit-learn.org/stable/modules/tree.html#complexity>

Big O Notation ("O" is for "order of growth")

Goal: categorize functions (and algorithms) by how fast they **grow**

- **do not care** about scale
- **do not care** about small inputs
- **care** about shape of the curve
- **strategy:** find some multiple of a general function that is an upper bound

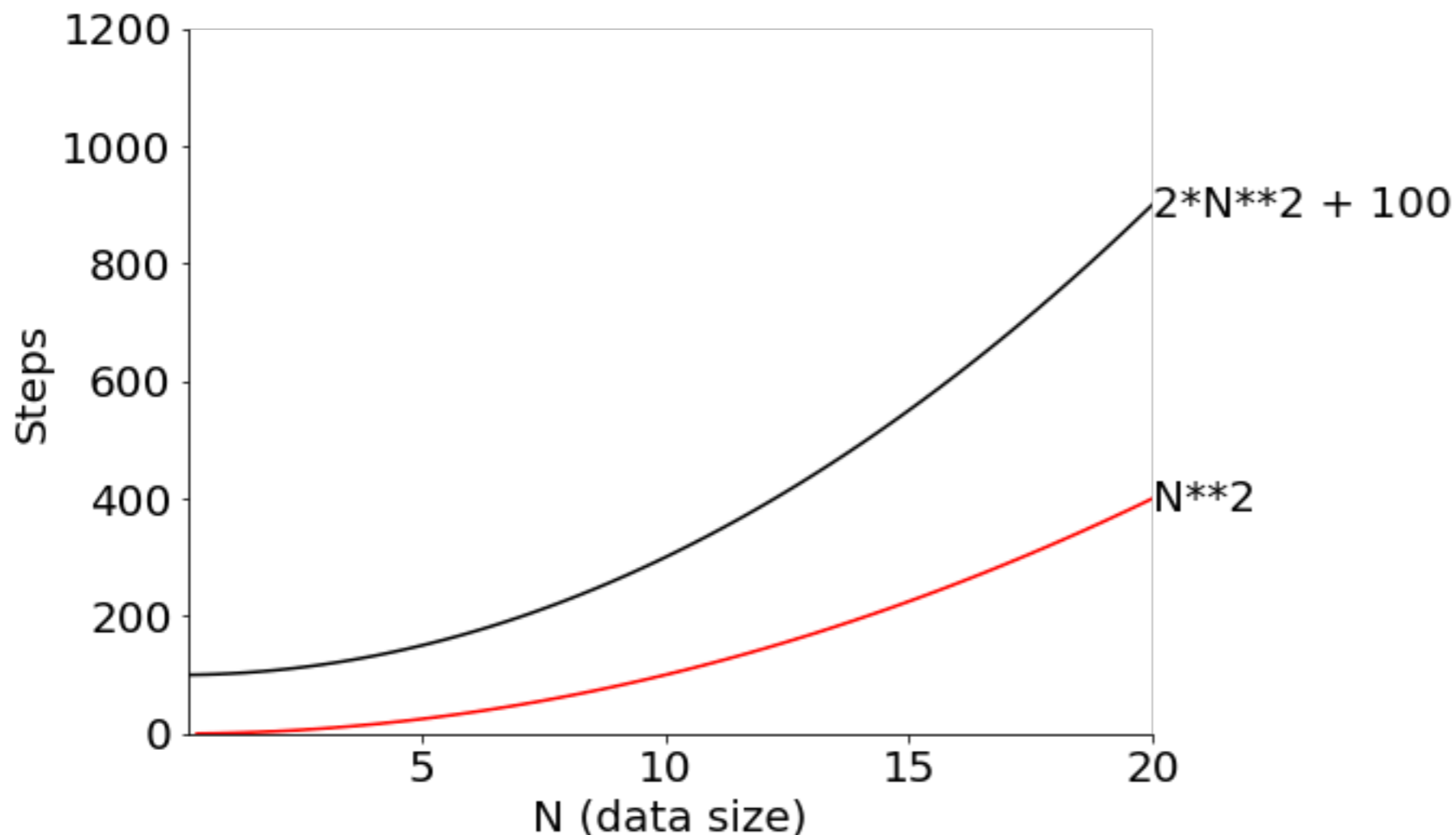


$f(N) == 2N^2 + 100$
is an $O(N^2)$ function

Big O Notation ("O" is for "order of growth")

Goal: categorize functions (and algorithms) by how fast they **grow**

- **do not care** about scale
- **do not care** about small inputs
- **care** about shape of the curve
- **strategy:** find some multiple of a general function that is an upper bound



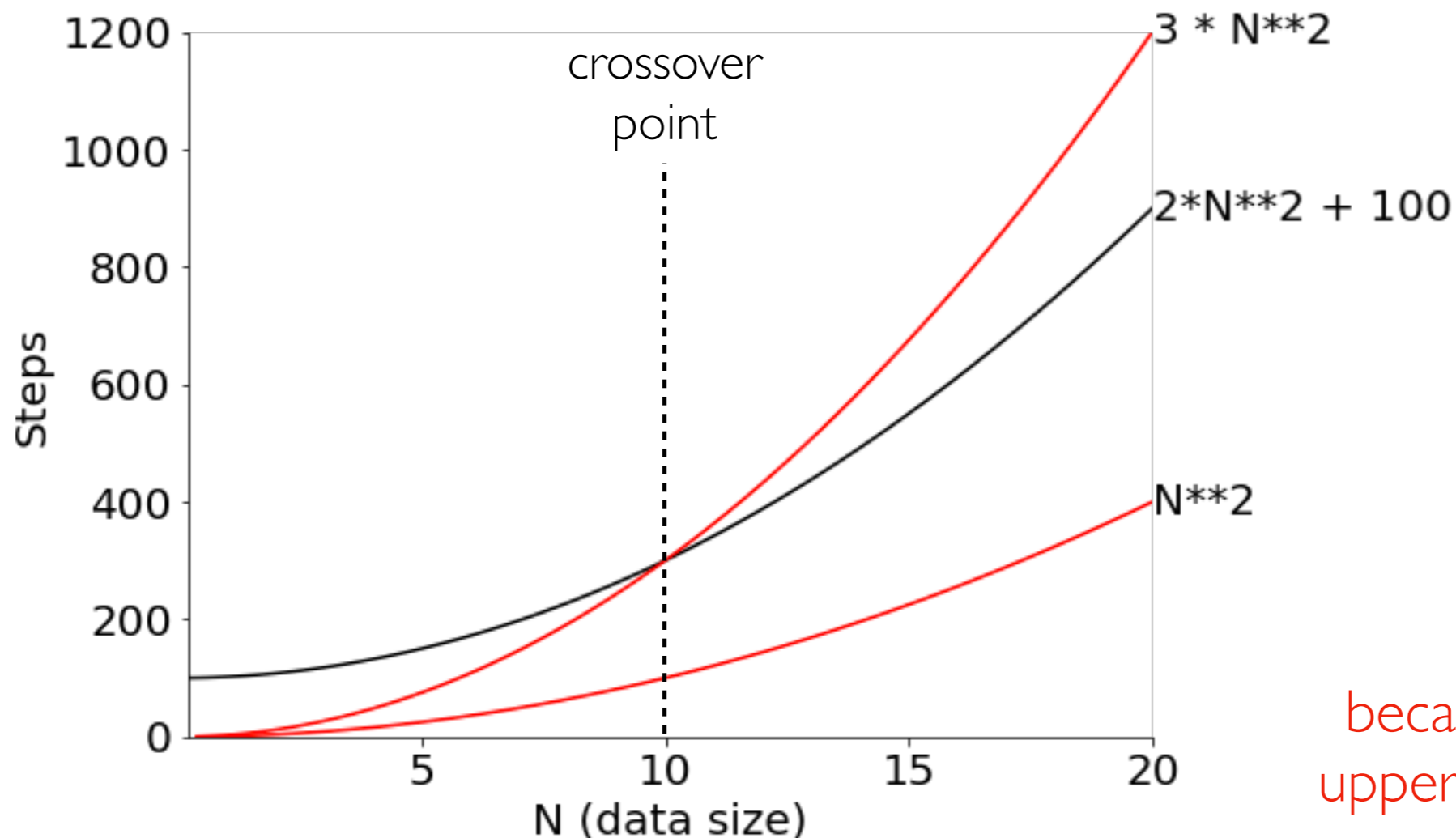
$f(N) == 2N^2 + 100$
is an $O(N^2)$ function

not because N^2
is an upper bound

Big O Notation ("O" is for "order of growth")

Goal: categorize functions (and algorithms) by how fast they **grow**

- **do not care** about scale
- **do not care** about small inputs
- **care** about shape of the curve
- **strategy:** find some multiple of a general function that is an upper bound



$f(N) == 2N^2 + 100$
is an $O(N^2)$ function

not because N^2
is an upper bound

because **some multiple** is an
upper bound after **some point**

Defining Big O

care about shape of the curve

do not care about small inputs

do not care about scale

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

Defining Big O

care about shape of the curve

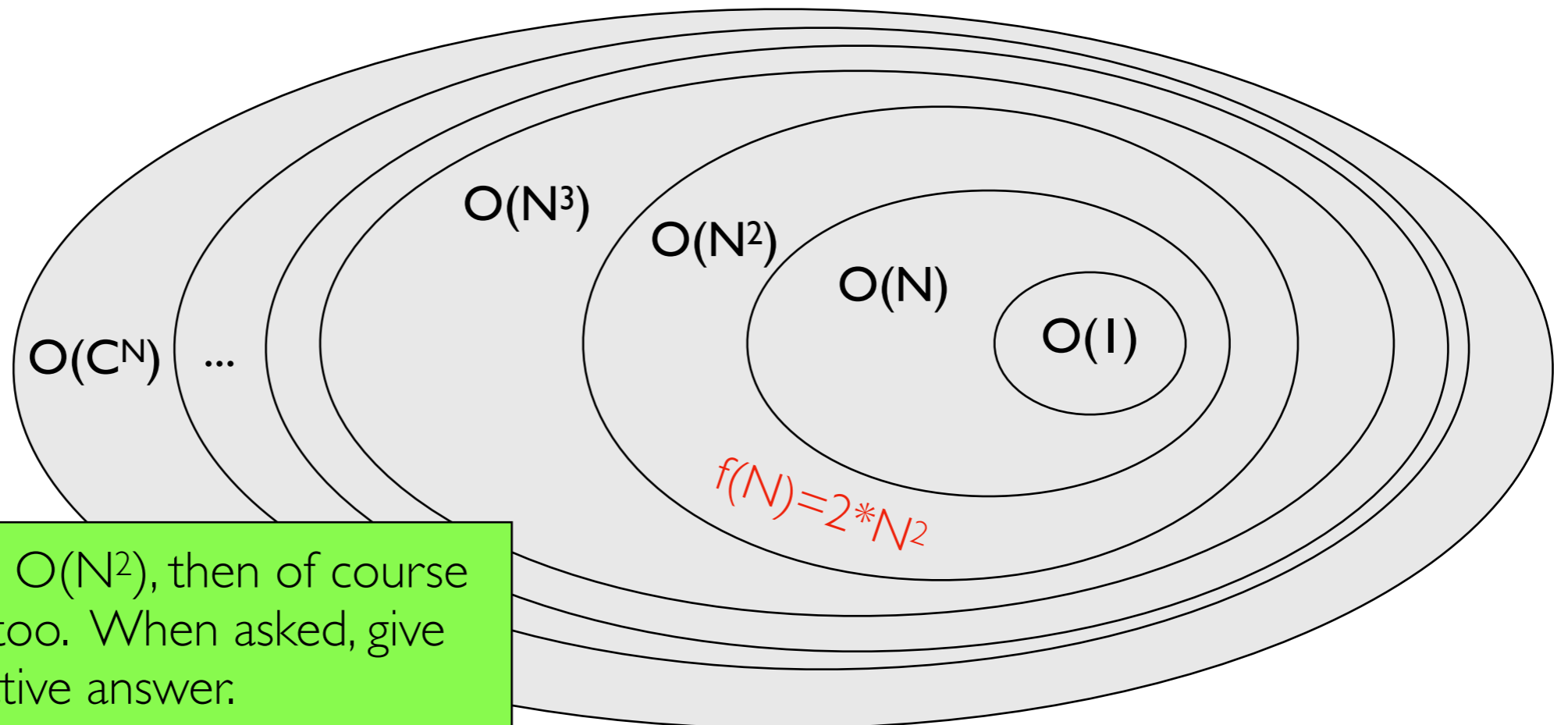
do not care about small inputs

do not care about scale

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

Sets



Note: if $f(N)$ is in $O(N^2)$, then of course $f(N)$ is in $O(N^3)$ too. When asked, give the most informative answer.

Defining Big O

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

which ones
are true?

$$f(N) = 2N \in O(N)$$

$$f(N) = 100N \in O(N^2)$$

$$f(N) = N^2 \in O(1000000N)$$

Defining Big O

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

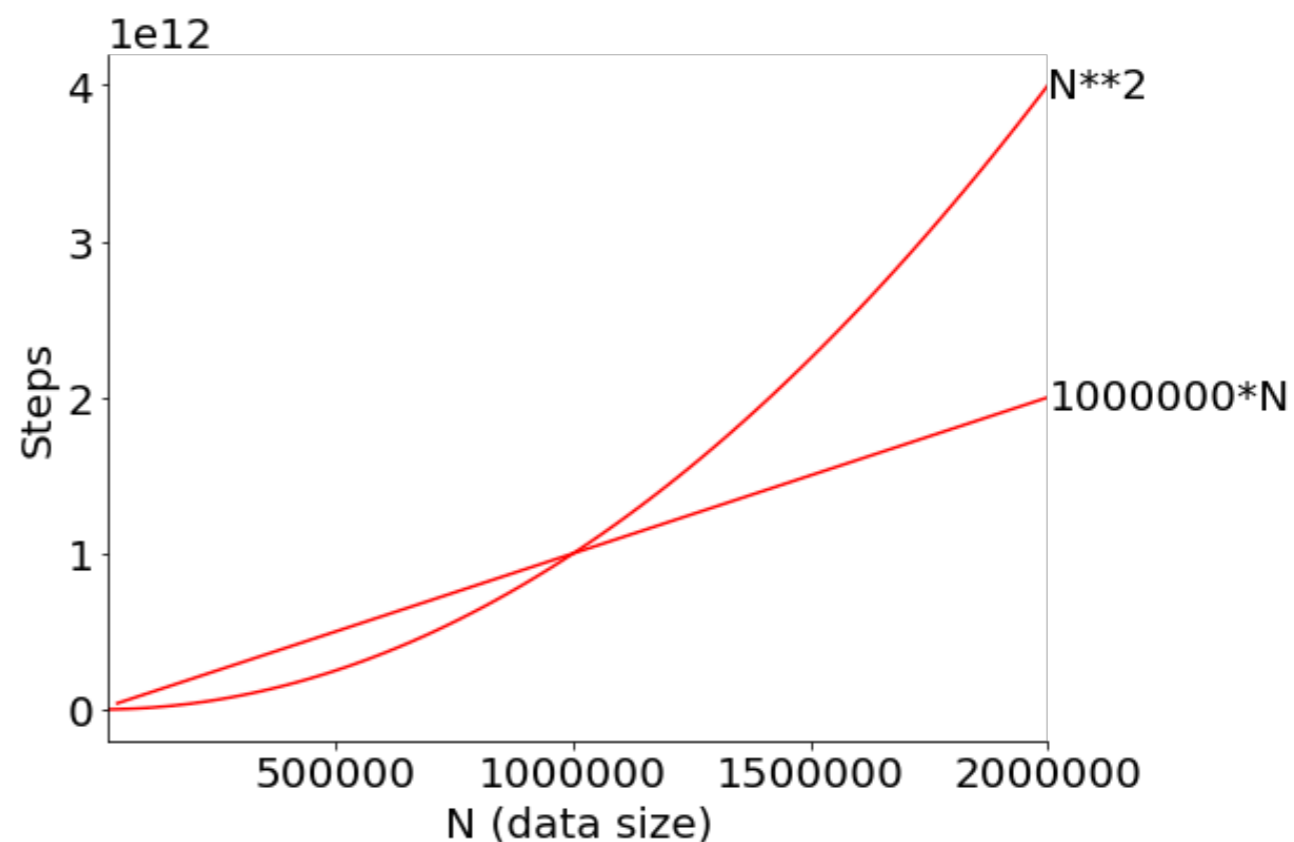
Then $f(N) \in O(g(N))$

which ones
are true?

$$f(N) = 2N \in O(N)$$

$$f(N) = 100N \in O(N^2)$$

~~$$f(N) = N^2 \in O(1000000N)$$~~

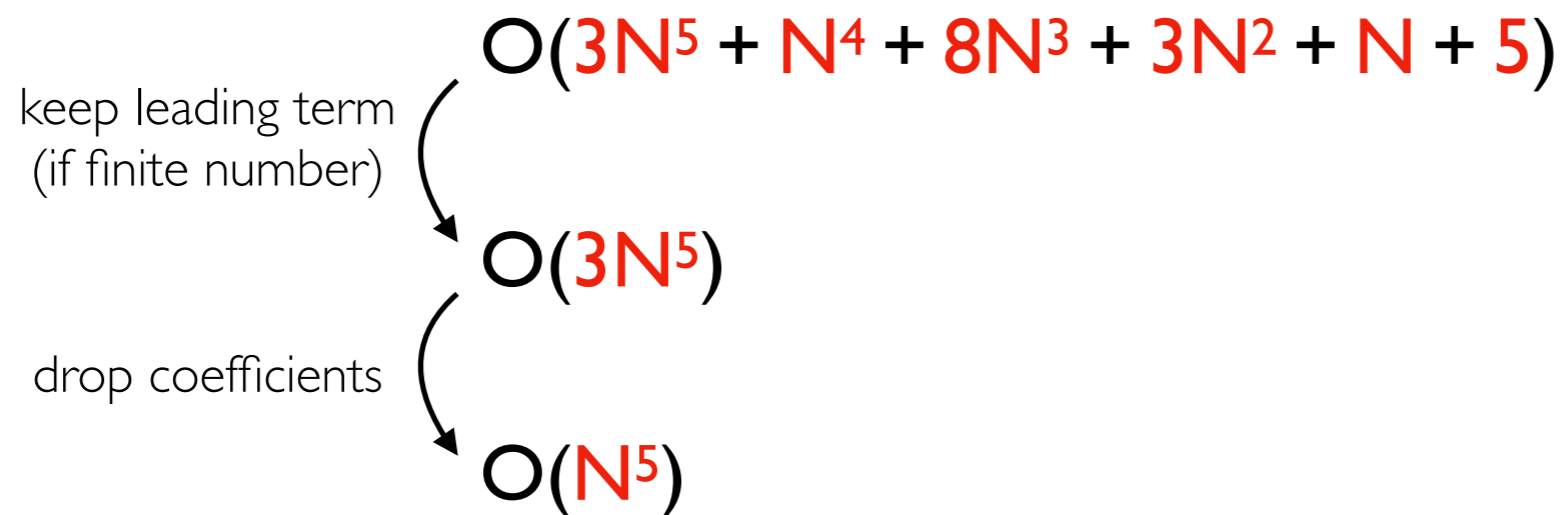


Defining Big O

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

shortcuts



Defining Big O

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

worksheet: problem 2

Outline

Performance and Complexity

What is a step?

Counting Executed Steps

Big O: for functions/curves

Big O: for algorithms

Defining Big O

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

We'll let $f(N)$ be the number of steps that some **Algorithm A** needs to perform for input size N .

When we say **Algorithm A** $\in O(g(N))$,
we mean that $f(N) \in O(g(N))$

Defining Big O

If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

STEP

```
odd_count = 0  
odd_sum = 0
```

STEP

```
for num in input_nums:
```

STEP

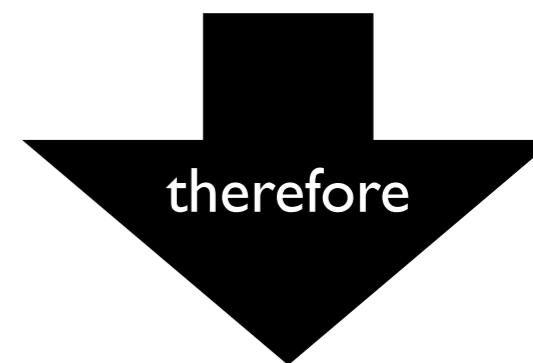
```
    if num % 2 == 1:  
        odd_count += 1  
        odd_sum += num
```

STEP

```
odd_avg = odd_sum / odd_count
```

$$2*N+3 \leq 3 * N$$

[for big N values]



this code is $O(N)$

For N elements, there will be $2*N+3$ steps

Defining Big O

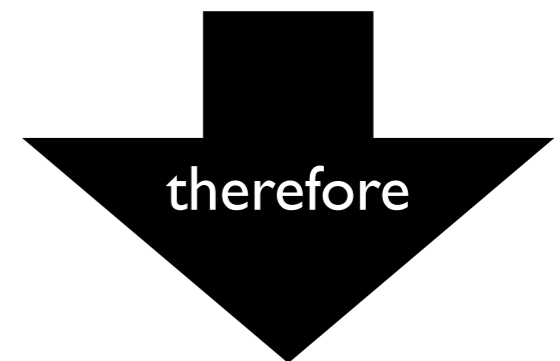
If $f(N) \leq C * g(N)$ for large N values and some fixed constant C

Then $f(N) \in O(g(N))$

```
STEP odd_count = 0
STEP odd_sum = 0
STEP for num in input_nums:
STEP     if num % 2 == 1:
STEP         odd_count += 1
STEP         odd_sum += num
STEP odd_avg = odd_sum
STEP odd_avg /= odd_count
```

$$4*N+5 \leq 5 * N$$

[for big N values]



this code is $O(N)$

For N elements, there will be between $2*N+5$ and $4*N+5$ steps

Analysis of Algorithms: Key Ideas

complexity: relationship between input size and steps executed

step: an operation of bounded cost (doesn't scale with input size)

asymptotic analysis: we only care about very large N values for complexity (for example, assume a big list)

worst-case: we'll usually assume the worst arrangement of data because it's harder to do an average case analysis (for example, assume search target at the end of a list)

big O: if $f(N) \leq C * g(N)$ for large N values and some fixed constant C ,
then $f(N) \in O(g(N))$